



EXPERIENCE

FINSOM (since 2020)

Mediator with the Financial Services Ombudsman FINSOM

Conducting mediation procedures and carrying out material and legal assessments; acting as alternate for the Ombudsman; acting as expert in mediations conducted by other Mediators.

Max & Hönig Rechtsberatung (since 2016)

Founder & Managing Partner

UBS Switzerland AG, Wealth Management, Zurich (2009 – 2015)

Legal Counsel/Director - Wealth Management & Intermediaries
Deputy Head Legal Global FIM

Assessed and implemented the legal and regulatory developments affecting the global FIM business (external financial intermediaries); maintained and further developed UBS policies and other UBS internal rules and guidance with special focus on cross-border policies; developed and maintained the contractual framework for FIMs, designed and provided content for training modules (web-based and classroom); provided legal support for FIM business and marketing initiatives, provided front

advisory on all FIM related matters including Policy exemption requests and incident management; specialist for cross-border related legal questions; established country-specific policies and was responsible for the global FIM cross-border framework

SIX Swiss Exchange Ltd., Zurich (2006 – 2009)

Attorney-at-Law, Listing Department November 2006 – February 2008

Strategic and Regulatory Projects Department March 2008 – October 2009

Counseled clients in connection with their listing (IPO's, capital increases and other capital transactions) or delisting of equity securities on the SIX Swiss Exchange; conducted presentations for potential issuers; specialist for legal questions in connection with listings on the „EU-Compatible“ Segment of SIX Swiss Exchange and trading on the EU-Regulated Market Segment of SWX-Europe in London; conducted a revision of the SIX Swiss Exchange rules and regulations; legal responsible for the creation and positioning of the new SIX Exchange Regulation-website; participated in major regulatory projects (e.g. established concepts, conducted analyses); established concepts and petitions for approval by the management, Regulatory Board, Issuer's Board and FINMA; conducted internal and external consultations (e.g. established consultation reports)

Walder Wyss Attorneys at Law, Zurich (2002 – 2006)

Attorney at Law, banking & finance team, January 2006 – October 2006

Secondments with UBS Investment Bank, Traded Products Legal Team, January 2004 – September 2004 and January 2006 – August 2006

Drafted miscellaneous agreements such as credit agreements, employment agreements, agreements in connection with UBS' business division Bank for Banks, precious metal loan and consignment agreements and refining agreements; prepared memoranda on specific legal questions and conducted legal research; counseled clients in areas of contract, corporate and banking law and legally supported and implemented incorporations of businesses in Switzerland; participated in the legal enforcement of UBS' exit from international banknote business

EDUCATION

Bar exam of Zurich and admitted to all courts in Switzerland since 2005

Lic.iur. University of St. Gallen Law School (2002)

College Degree "Matura" (type W "Economics and Law") Kantonsschule Trogen (1995)

LANGUAGES

German, English, Italian, French

HOBBIES

Hiking with my dogs, skiing, tennis, kitesurfing, surfing, drums; singer in a jazz/blues band